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Attorneys for Defendants
UBS Financial Services Inc. (also sued as UBS/Paine
Webber) and UBS PartnerPlus Plan (erroneously sued as
UBS/Paine Webber Partner's Plus)

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

Alva Gene Thaning,
Plaintiff,
v.
UBS/Paine Webber, UBS/Paine Webber Partner's
Plus, UBS Financial Services, Inc.,
Defendants.

No. 07-5528 MJJ

**APPENDIX OF SELECT
AUTHORITIES CITED IN
DEFENDANTS' MOTION TO
COMPEL ARBITRATION AND
STAY PROCEEDINGS**

Date: February 26, 2008
Time: 9:30 a.m.
Place: Courtroom 11, 19th Flr.
Judge: Hon. Martin J. Jenkins

1 Defendants UBS Financial Services Inc., (also sued as UBS/Paine Webber) and
2 UBS PartnerPlus Plan (erroneously sued as UBS/Paine Webber Partner's Plus) submit the
3 following select authorities cited in Defendants' Motion to Compel Arbitration and Stay
4 Proceedings:

- 5 1. Masucci, *Securities Arbitration - A Success Story: What Does the Future*
6 *Hold?*, 31 Wake Forest Law Review, 183 (1996)
- 7 2. *Ottoman v. Fadden*, 575 N.W.2d 593, 594 (Minn. Ct. App. 1998)
- 8 3. NASD Code of Arbitration Procedure for Industry Disputes § 13200

9
10 DATED: January 11, 2008

Bingham McCutchen LLP

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13 By: /s/ Walter M. Stella
14 Walter M. Stella
15 Attorneys for Defendants
16 UBS Financial Services Inc (also sued as
17 UBS/Paine Webber) and UBS/Paine Webber
18 Partner's Plus (erroneously sued as UBS/Paine
19 Webber Partner's Plus)
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